



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/387,135 08/31/99 COSFORD

0262/0916

GRAY CARY WARE & FREIDENFICH LLP
4365 EXECUTIVE DRIVE SUITE 1600
SAN DIEGO CA 92121-2189

NOT ASSIGNED

1614

DATE MAILED:

09/16/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 1046.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ 156.00 for 2 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202



SECTOR/\$

PATENT
Attorney Docket No.: SIBIA1290

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

143

In re Application of:
Cosford et al.

Application No.: 09/387,135

Filed: August 31, 1999

For: METHODS OF MODULATING
PROCESSES MEDIATED BY
EXCITATORY AMINO ACID
RECEPTORS

) Group Art Unit: 1614

) Examiner: Unassigned

) CERTIFICATION UNDER 37 CFR § 1.8

) I hereby certify that the documents referred to as
) enclosed herein are being deposited with the United
) States Postal Service as first class mail on this date
) 11/2/99 in an envelope
) addressed to: Assistant Commissioner for Patents,
) Washington, D.C. 20231

) Stephen E. Reiter Reg. No. 31,192
) (Name of person mailing paper)

) Signature E. L. 11/2/99
) Date

Box Missing Parts
Assistant Commissioner of Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed September 16, 1999
enclosed are:

1. A copy of the Notice to File Missing Parts of Application;
2. A Declaration for Patent Application;
3. An executed Power of Attorney By Assignee; and
4. A Recordation Form Cover Sheet and an executed Assignment.

In re Application of:

Cosford et al.

Application No.: 09/387,135

Filed: August 31, 1999

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PATENT

Attorney Docket No.: SIBIA1290

The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	12	=	0	X	\$09	\$18	=	\$.00	\$.00
Independent Claims	5	=	2	X	\$39	\$78	=	00	\$156.00
Multiple Dependent Claims Presented: ___ Yes <u>X</u> No					\$130	\$260			\$.00
					BASIC FEE			\$380.00	\$760.00
					TOTAL FEE			\$461.00	\$916.00

X The Commissioner is hereby authorized to charge payment of the TOTAL FEE of \$1,086.00, which represents \$760.00 filing fee, \$156.00 for extra claims, \$130.00 surcharge for filing missing parts of the application, and \$40.00 recordation fee for recording the Assignment, or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

X Any additional filing fees required under 37 C.F.R. 1.16.

X Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

Date: 11/2/99

Stephen E. Reiter.

Reg. No. 31,192

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